



PTO/SB/08A (04-03)

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 1

<i>Complete if Known</i>	
Application Number	10/015,440
Filing Date	December 13, 2001
First Named Inventor	Michael D. Hooven
Art Unit	3739
Examiner Name	
Attorney Docket Number	HOOV 121

## **U. S. PATENT DOCUMENTS**

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## **FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

Bosilanol Ketone Rollins

Date Considered

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SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 1

**Complete if Known**

<b>Application Number</b>	10/015,440
<b>Filing Date</b>	December 13, 2001
<b>First Named Inventor</b>	Michael D. Hooven
<b>Art Unit</b>	3739
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	HOOV 121

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## FOREIGN PATENT DOCUMENTS

Examiner Signature: *Bonita Land* [Signature] Date Considered: 8/21/03

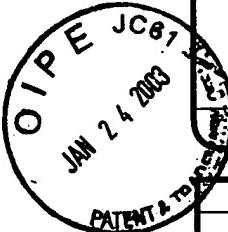
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Substitute for form 1449A/PTO <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<i>Complete if Known</i>	
Sheet	1	of	1
		Application Number	10/015,440
		Filing Date	December 13, 2001
		First Named Inventor	Michael D. Hooven
		Art Unit	3739
		Examiner Name	
		Attorney Docket Number	HOOV 121



**U.S. PATENT DOCUMENTS**

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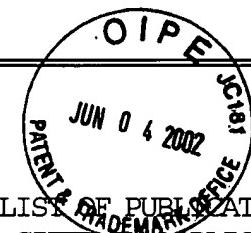
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LIST OF PUBLICATIONS  
CITED BY APPLICANT

Attorney Docket No.  
HOOV 121

Serial No.  
10/015,440

Applicant  
Michael D. HOOVEN

Filing Date  
December 13, 2001

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3739

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
BKR	3,630,207	12/28/71	Kahn et al	128	350	08/08/69
	3,901,242	08/26/75	Storz	128	303	05/30/74
	4,043,342	08/23/77	Morrison, Jr.	128	303	02/26/76
	4,353,371	10/12/82	Cosman	128	303	09/24/80
	4,492,231	01/08/85	Auth	128	303	09/17/82
	4,590,934	05/27/86	Malis et al	128	303	05/18/83
	4,706,667	11/17/87	Roos	128	303	07/28/86
	4,732,149	03/22/88	Sutter	128	303	01/21/87
	4,802,475	02/07/89	Weshahy	128	303	06/22/87
	4,940,064	07/10/90	Desai	128	784	06/30/87
	5,013,312	05/07/91	Parins et al	606	37	03/19/90
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	5,085,657	02/04/92	Ben-Simhon	606	42	10/09/90
	5,087,243	02/11/92	Avitall	604	20	06/18/90
	5,116,332	05/26/92	Lottick	606	42	02/08/92
	5,147,355	09/15/92	Friedman	606	23	09/23/88
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	EP 0 765 639 WO 98/17187 WO 98/53750	04/02/97 04/30/98 12/03/98	Ethicon Endo-Surgery Inc Heartport, Inc. Sports Link, Inc.	7	7	09/27/96 10/22/97 05/28/98

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BKR English abstract re Japanese Patent Application No. JP 199600275351  
published April 28, 1997.

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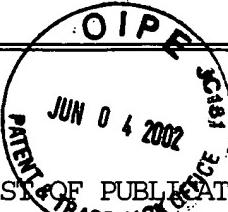
*Boland Kearny Boland*

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 <b>LIST OF PUBLICATIONS CITED AGAINST APPLICANT</b>	<u>Attorney Docket No.</u>	<u>Serial No.</u>
	HOOV 121	10/015,440
	<u>Applicant</u> Michael D. HOOVEN	
<u>Filing Date</u> December 13, 2001	<u>Group</u> 3739	

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
RKR	5,217,460	06/08/93	Knopfler	606	52	03/22/91
	5,231,995	08/03/93	Desai	128	784	09/30/92
	5,242,441	09/07/93	Avitall	606	41	02/24/92
	5,250,047	10/05/93	Rydell	606	48	10/21/91
	5,250,075	10/05/93	Badie	606	207	09/02/92
	5,263,493	11/23/93	Avitall	607	122	07/07/92
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	5,269,780	12/14/93	Roos	606	42	09/27/91
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	5,281,216	01/25/94	Kliceck	606	42	03/31/92
	5,293,869	03/15/94	Edwards et al	128	642	09/25/92
	5,318,589	06/07/94	Lichtman	606	205	04/15/92
	5,327,905	07/12/94	Avitall	128	772	12/11/92
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	WO 99/02096	01/21/99	The Regents of the Univ. of California	7	7	07/08/98
	WO 99/04696	02/04/99	Cardiac CRC Nominees Pty., Ltd.			07/24/98
	WO 99/12487	03/18/99	Valleylab			09/04/98

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EXAMINER:	DATE CONSIDERED:
<i>Rosiland Kennedy Rollin</i>	<i>8/21/03</i>

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
KLD	5,429,131	07/04/95	Scheinman et al	128	642	02/25/94
	5,429,636	07/04/95	Shikhman et al	606	41	10/08/93
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	5,441,483	08/15/95	Avitall	604	95	11/08/93
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	5,445,638	08/29/95	Rydell et al	606	51	07/16/93
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	5,451,223	09/19/95	Ben-Simhon	606	42	07/26/94
	5,454,370	10/03/95	Avitall	128	642	12/03/93
	5,465,716	11/14/95	Avitall	128	642	11/22/93
	5,472,441	12/05/95	Edwards et al	606	41	03/11/94
	5,478,309	12/26/95	Sweezer et al	604	4	05/27/94
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	5,487,385	01/30/96	Avitall	128	642	12/03/93
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	5,531,744	07/02/96	Nardella et al	606	48	12/01/94
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	5,555,883	09/17/96	Avitall	128	642	01/30/95

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	WO 99/56486	11/04/99	Nokia Telecommunications OY	7	7	04/28/99
	WO 99/56644	11/11/99	Cardiac Pacemakers, Inc.	7	7	04/28/99
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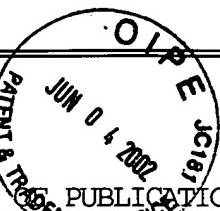
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EXAMINER:	<i>Rosiland Kearney Hollis</i>	DATE CONSIDERED:
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PKR	5,562,721	10/08/96	Marchlinski et al	607	99	05/10/95
	5,564,440	10/15/96	Swartz et al	128	898	11/03/94
	5,582,609	12/10/96	Swanson et al	606	39	08/08/94
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	5,672,174	09/30/97	Gough et al	606	41	01/16/96
	5,674,220	10/07/97	Fox et al	606	51	09/29/95
	5,683,384	11/04/97	Gough et al	606	41	08/15/95
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	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
↓	WO 97/32525	09/12/97	Cardiac Pathways Corp.	7	7	11/04/96
	WO 99/44519	09/10/99	Atrionix, Inc.			03/02/99
↓	WO 99/59486	11/25/99	New England Medical Ctr.	7	7	05/20/99
	WO 00/21449	04/20/00	Levin, J.M.			10/09/98

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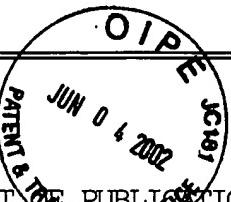
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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
RKR	5,697,928	12/16/97	Walcott et al	606	41	09/23/96
	5,702,359	12/30/97	Hofmann et al	604	20	06/06/95
	5,702,390	12/30/97	Austin et al	606	48	03/12/96
	5,702,438	12/30/97	Avitall	607	122	06/08/95
	5,709,680	01/20/98	Yates et al	606	50	12/22/94
	5,718,703	02/17/98	Chin	606	49	03/14/95
	5,722,403	03/03/98	McGee et al	128	642	10/28/96
	5,725,512	03/10/98	Swartz et al	604	280	02/08/96
	5,728,143	03/17/98	Gough et al	607	101	02/14/96
	5,730,127	03/24/98	Avitall	128	642	01/29/96
	5,730,704	03/24/98	Avitall	600	374	05/06/96
	5,733,280	03/31/98	Avitall	606	23	11/15/95
	5,735,847	04/07/98	Gough et al	606	45	12/19/95
	5,735,849	04/07/98	Baden et al	606	51	11/07/96
	5,740,808	04/21/98	Panescu et al	128	662	10/28/96
	5,755,664	05/26/98	Rubenstein	600	377	07/11/96
	5,755,717	05/26/98	Yates et al	606	51	01/16/96
	5,759,158	06/02/98	Swanson	600	508	08/19/97
	5,782,827	07/21/98	Gough et al	606	41	12/19/95

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	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	WO 00/27310 (A2 & A3)	05/18/00	St. Jude Medical Cardiovascular Group Inc			11/05/99
	WO 00/27311	05/18/00	St. Jude Medical Cardiovascular Group Inc			11/05/99

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EXAMINER:	<i>Rosiland Kearne Pollard</i>	DATE CONSIDERED:	<i>8/21/03</i>
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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
R KR	5,782,828	07/21/98	Chen et al	606	42	12/11/96
	5,785,706	07/28/98	Bednarek	606	41	11/18/96
	H 1745	08/04/98	Paraschac	606	51	09/29/95
	5,797,906	08/25/98	Rhum et al	606	48	04/28/95
	5,797,960	08/25/98	Stevens et al	606	213	04/20/95
	5,800,484	09/01/98	Gough et al	607	104	09/03/96
	5,807,393	09/15/98	Williamson, IV et al	606	32	05/08/95
	5,807,395	09/15/98	Mulier et al	606	41	04/22/97
	5,810,804	09/22/98	Gough et al	606	41	03/15/96
	5,810,805	09/22/98	Sutcu et al	606	45	02/09/96
	5,810,811	09/22/98	Yates et al	606	50	04/04/97
	5,817,091	10/06/98	Nardella et al	606	38	05/20/97
	5,823,955	10/20/98	Kuck et al	600	374	11/20/95
	5,823,956	10/20/98	Roth et al	600	374	05/07/96
	5,829,447	11/03/98	Stevens et al	128	898	05/07/96
	5,833,690	11/10/98	Yates et al	606	52	04/04/97
	5,833,703	11/10/98	Manushakian	606	174	03/14/97
	5,842,984	12/01/98	Avitall	600	374	11/12/97

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	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	WO 00/27312	05/18/00	St. Jude Medical Cardiovascular Group Inc	7	7	11/05/99
	WO 00/27313	05/18/00	St. Jude Medical Cardiovascular Group Inc		TC STJ	11/05/99
	WO 00/42931	07/27/00	Cryocath Technologies			01/25/00

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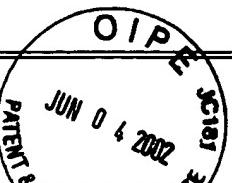
Rosiland Kennedy Phillips

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RKR	5,843,075	12/01/98	Taylor	606	34	02/06/97
	5,843,122	12/01/98	Riza	606	207	09/30/97
	5,846,238	12/08/98	Jackson et al	606	41	04/08/96
	5,849,011	12/15/98	Jones et al	606	47	06/18/96
	5,849,020	12/15/98	Long et al	606	167	06/30/97
	5,853,411	12/29/98	Whayne et al	606	41	04/08/96
	5,855,614	01/05/99	Stevens et al	623	11	05/07/96
	5,860,975	01/19/99	Goble et al	606	45	12/15/95
	5,863,290	01/26/99	Gough et al	606	41	12/19/95
	5,863,291	01/26/99	Schaer	606	41	04/08/96
	5,868,737	02/09/99	Taylor et al	606	34	05/06/97
	5,871,483	02/16/99	Jackson et al	606	41	04/08/96
	5,873,896	02/23/99	Ideker	607	14	05/27/97
	5,876,400	03/02/99	Songer	606	45	01/13/97
	5,876,401	03/02/99	Schulze et al	606	51	04/14/97
	5,891,135	04/06/99	Jackson et al	606	41	04/08/96
	5,891,136	04/06/99	McGee et al	606	41	04/08/96
	5,893,863	04/13/99	Yoon	606	170	05/01/97
	5,899,898	05/04/99	Arless et al	606	22	02/27/97
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	WO 00/42932	07/27/00	Cryocath Technologies			01/25/99
	WO 00/42933	07/27/00	Cryocath Technologies			01/25/99
	WO 00/42934	07/27/00	Daig Corporation			01/07/00

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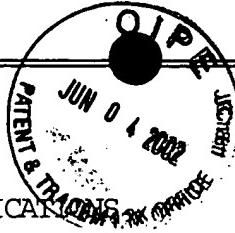
*Donald Koenig Collins*

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RHR	5,902,289	05/11/99	Swartz et al	604	281	10/14/97
	5,910,129	06/08/99	Koblish et al	604	95	10/30/97
	5,913,855	06/22/99	Gough et al	606	41	11/03/97
	5,921,924	07/13/99	Avitall	600	374	11/12/97
	5,925,038	07/20/99	Panescu et al	606	41	04/08/96
	5,925,042	07/20/99	Gough et al	606	41	11/03/97
	5,924,424	07/20/99	Stevens et al	128	898	10/14/97
	5,928,229	07/27/99	Gough et al	606	41	10/30/97
	5,931,836	08/03/99	Hatta et al	606	38	07/21/97
	5,935,126	08/10/99	Riza	606	51	09/30/97
	5,938,660	08/17/99	Swartz et al	606	45	06/27/97
	5,941,251	08/24/99	Panescu et al	128	899	11/08/96
	5,941,845	08/24/99	Tu et al	604	53	08/05/97
	5,944,718	08/31/99	Austin et al	606	48	07/31/97
	5,947,938	09/07/99	Swartz et al	604	280	01/10/97
	5,951,552	09/14/99	Long et al	606	46	06/30/97
	5,951,547	09/14/99	Gough et al	606	41	11/03/97
	5,954,665	09/21/99	Ben-Haim	600	515	10/23/97
	5,961,514	10/05/99	Long et al	606	41	06/18/97
	5,967,976	10/19/99	Larsen	600	374	11/08/96
	5,971,983	10/26/99	Lesh	606	41	05/09/97
	5,972,026	10/26/99	Laufer et al	607	96	01/07/98

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DKR	5,980,516	11/09/99	Mulier et al	606	41	03/09/98
	5,980,517	11/09/99	Gough	606	41	03/25/98
	5,984,281	11/16/99	Hacker et al	261	71	12/08/97
	5,997,533	12/07/99	Kuhns	606	41	01/30/98
	6,010,516	01/04/00	Hulka	606	148	03/20/98
	6,010,531	01/04/00	Donlon et al	623	2	01/31/96
	6,012,457	01/11/00	Lesh	128	898	07/08/97
	6,013,074	01/11/00	Taylor	606	34	12/15/97
	6,016,809	01/25/00	Mulier et al	128	898	10/09/97
	6,017,358	01/25/00	Yoon et al	606	205	05/01/97
	6,023,638	02/08/00	Swanson	600	510	05/22/98
	6,024,740	02/15/00	Lesh et al	606	34	07/08/97
	6,024,741	02/15/00	Williamson, IV et al	606	40	03/05/97
	6,030,403	02/29/00	Long et al	606	185	03/18/99
	6,036,670	03/14/00	Wijeratne et al	604	96	12/23/97
	6,039,731	03/21/00	Taylor et al	606	34	10/29/98
	6,039,733	03/21/00	Buyssee et al	606	40	06/25/98
	6,039,748	03/21/00	Savage et al	606	180	08/05/97
	6,047,218	04/04/00	Whayne et al	607	122	04/23/99
	6,048,329	04/11/00	Thompson et al	604	95	10/30/97
	6,110,098	08/29/00	Renirie et al	600	16	12/18/96

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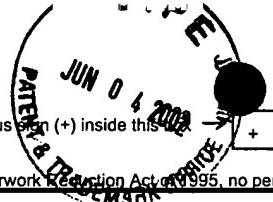
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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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10 | of | 12

***Complete if Known***

Application Number	10/015,440
Filing Date	December 13, 2001
First Named Inventor	Michael D. Hooven
Group Art Unit	3739
Examiner Name	

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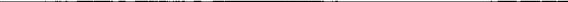
Attorney Docket Number HOOV 121

**U.S. PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
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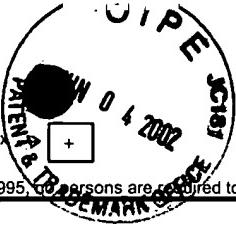
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Application Number	10/015,440
Filing Date	December 13, 2001
First Named Inventor	Michael D. Hooven
Group Art Unit	3739
Examiner Name	

Attorney Docket Number HOOV 121

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
BKR	24	YOSHIO KOSAKAI, M.D., ET AL., "Cox Maze Procedure for Chronic Atrial Fibrillation Associated with Mitral Valve Disease," THE JOURNAL OF THORACIC AND CARDIOVASCULAR SURGERY, 1994; Vol. 108, No. 6, pp. 1049-1055.	
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Date Considered

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First Named Inventor	Michael D. Hooven
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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	36	MARY O. PALAZZO, RN, MS, CCRN, "What You Need To Know - a-fib 101," from the ATRIAL FIBRILLATION PAGE Website ( <a href="http://www.members.aol.com/mazern/afib101.htm">www.members.aol.com/mazern/afib101.htm</a> ) June 5, 2000.	
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8/21/03

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